

LAUREL FINANCIAL GROUP, INC. PRIVACY POLICY

Our Promise to You

As a client of LAUREL FINANCIAL GROUP, INC., you share both personal and financial information with us. We value the trust that you place in us and are committed to the responsible management, use, and protection of this information.

Information Provided by Clients

In the normal course of doing business, we collect personal information about you to help us serve your financial needs, manage your account(s), provide customer service, and fulfill legal and regulatory requirements. Typically, the non-public personal information you provide to us may include relevant information, such as:

- Contact information (home & work addresses, phone number(s), Email(s), etc.)
- Identifying information (birth date, social security number, driver's license number, etc.)
- Financial information (income, employment, net worth, assets, liabilities, banking information, etc.)
- Investment information (investment experience, investment holdings, investment goals, etc.)
- Family information (marital status, dependent information, beneficiary information, etc.)

How We Manage and Protect Your Personal Information

As required to conduct business on your behalf it may be necessary to share or disclose nonpublic personal information with affiliated or unaffiliated organizations, such as; broker/dealers, insurance companies, banks, investment firms, clearing firms, retirement plan sponsors, third-party administrators and other third parties who assist us with the maintenance or servicing of your account(s). Our service providers are required to keep your personal information confidential, as well. Additionally, it may also be necessary to share or disclose your nonpublic personal information with auditors, regulators, and law enforcement organizations, as permitted or required by law.

All Laurel Financial Group personnel execute a confidentiality/nondisclosure agreement with our firm and are instructed in the proper handling of sensitive information and documents. We maintain physical, electronic, procedural, and contractual safeguards to protect your information.

We do not sell public or nonpublic information about a current or former client.

If you decide to close your account(s) or become an inactive client, we will adhere to the privacy policies and practices described in this notice.

Client Notifications

We are required by law to annually provide to you a notice describing our privacy policy. In addition, we will inform you promptly if there are changes to our policy.

Please do not hesitate to contact us with questions about this notice.